



**INITIATION PLAN TEMPLATE
FOR A GEF PROJECT PREPARATION GRANT (PPG)**

Project Title: Reducing Maritime Trafficking between Africa and Asia (UNDP-led Component 3 of the Global “Coordinate action and learning to combat wildlife crime” jointly implemented by UNDP and the World Bank)

Country: Global

Strategic Plan Outcomes:

Outcome 1: Growth and development are inclusive and sustainable, incorporating productive capacities that create employment and livelihoods for the poor and excluded.

Output 1.3: Solutions developed at national and sub-national levels for sustainable management of natural resources, ecosystem services, chemicals and waste.

Indicator 1.3.1 Number of new partnership mechanisms with funding for sustainable management solutions of natural resources, ecosystems services, chemicals and waste at national and/or subnational level.

Initiation Plan Start Date: February 2016

Initiation Plan End Date: November 2016

ATLAS Project Award: 00092291 ATLAS Project ID: 00097076 PIMS Project ID: 5620 Management Arrangement: DIM	Total budget: US\$ 52,425 Allocated resources: <ul style="list-style-type: none">• GEF US\$ 52,425
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APPROVED BY:

Magdy Martínez-Solimán, Assistant Administrator and Director, Bureau for Policy and Programme Support

[Signature]
Date: *Jan 7, 2016*

CLEARED BY:

Adriana Dinu, Executive Coordinator, UNDP/GEF

Date:

A. Brief Description of Initiation Plan:

This project forms component 3 of the Global Coordination Project entitled “Coordinate action and learning to combat wildlife crime under the GEF-6 Illegal Wildlife Trade (IWT)” Programme. The Global Coordination Project is co-implemented by the World Bank and UNDP. This PPG Initiation Plan is to develop the UNDP portion of the Global Coordination Project (component 3) into a full project document. During the initiation plan period, a number of studies and stakeholder consultations will be undertaken with the view to further develop the approved project concept (see GEF PIF attached in Annex 1) into a fully formulated UNDP project document which will also inform the combined World Bank - UNDP project document and CEO endorsement document to be submitted to the GEF. The final output of the initiation plan will be a UNDP-GEF project document which is technically cleared by the UNDP-GEF Senior Technical Adviser (STA) and ready for submission to GEF.

B. Project preparation activities

A. Component A: Technical review

I. Baseline studies:

The following technical studies should be conducted during the initiation plan:

1. ***To corroborate and expand the barrier description outlined in the PIF.*** This should include: (i) Describe information and intelligence exchanges between African and Asian countries and agencies in efforts to reduce maritime transport of illegal wildlife products, especially ivory and emphasise areas of improvements. (iii) Describe the lack of concrete interventions to address and upend trafficking routes. Particularly emphasise gaps and areas for improvements to reduce maritime transport of illegal wildlife products between African and Asian countries.
2. ***To fully justify and detail specific outputs proposed in the PIF as well as based on recent communication with key partners such as the UNODC.***

Recent discussion with UNODC resulted in the possible project intervention areas of:

- a) Targeted support for selected seaports in Africa and Asia (source, transit and destination countries) to improve their capacity for deterring and intercepting illegal trafficking of ivory and other species/products.
- b) Development of a “tusk-free port” port management effectiveness tracking tool system and possible certification system based on relevant existing systems (UNODC port assessment, ISO 28000 etc.)
- c) Support for establishing/improving South-South Cooperation mechanism between source, transit and destination ports in combatting IWT.
- d) Anti-corruption work at seaports.
- e) Awareness campaign within seaports with focus on the IWT - Sustainable Human Development link.

In particular, during the PPG the following activities will be carries out:

1. Compilation of situation analysis, barriers, incremental reasoning and project plan for component 3 of the coordination project using existing documentations and analysis, as well as the thematic papers compiled by the wildlife crime specialist
2. Thorough discussion and coordination with the World Bank as the GEF IWT programme programme coordination agency as well as the co-implementing partner for the global coordination project.
3. Direct engagement with key partners at the global, national and local (port) levels for introducing the project, joint planning of project strategy development and securing commitments for active participation in project implementation.

4. Development of a work plan for the finalization of the Tusk-Free-Port management self-regulation and effectiveness enhancement scheme to gauge increased capacity of port authorities to deter the illegal trafficking of ivory in consultation with international and national partners. A draft scheme needs to be presented in the project document which will be field-tested in years 1 and 2 of the project in target ports and then finalized. Also identify the host organization for such enhancement scheme
 5. Determination of 3 ports (one within a supply country in Africa, one within a transit country and one within a destination country) to field-test the enhancement scheme through a stakeholder consultation process.
 6. Investigation of the entire supply chain of the shipping industry and identify potential entry points where the use of certification could be beneficial and the organisations to engage with in its application.
 7. Development of a plan for establishing an Africa- Asia coordination mechanism to combat maritime trafficking, linking wildlife enforcement networks and working through ICWCC partnership, adding value to existing coordination efforts in this field. Initial engagement will be focused on ivory trafficking, with later expansion to other illegal wildlife products trafficking. The consultant should identify the countries to be engaged and advise on the membership/participants on the coordination mechanism.
 8. Feasibility analysis for development of standards, protocols and operating procedures with key private sector role-players for various aspects of screening and law enforcement necessary for effectively controlling IWT via shipping.
 9. Development of a small grants scheme for learning and knowledge exchange between national role- players involved in combatting trafficking e.g. police investigators, government officials, customs officials, shipping company employees etc. enabling them to share learning on improved intelligence screening, seizures and investigation.
 10. Development of new partnerships for the project including private sector partners in shipping industry and the International Maritime Organisation (IMO).
3. **To collect the baseline data for indicators.** This will include as a minimum determining the exact means of measurement, baselines and targets for all indicators, including: (i) effective coordination and learning between African and Asian countries and agencies involved in efforts to reduce maritime transport of illegal wildlife products, especially ivory; (ii) increased effectiveness of port authorities to deter the illegal trafficking of ivory through transit and destination countries' ports; (iii) increased capacity of port officials in identifying ivory and African rhino products measures by an IWT capacity development scorecard for ports.

4. To address specific technical issues and questions raised by the GEF Sec; Council members and STAP.

II. Undertake the following studies to address any opportunities/risks identified during an environmental and social screening of the project proposal (See SESP developed during PIF preparation):

The UNDP Social and Environmental Screening Process will be conducted entailing; (i) Conduct detailed socioeconomic and environmental context assessment to mitigate any negative consequences to local populations, particular ethnic groups or to men, women and children. In particular, potential gender issues will be closely looked at and women will be proactively considered for involvement in project pilot activities related to land use planning and implementation, incentive mechanisms application and local community capacity development support; (ii) Fully integrate the results in the project plans and activities, including selection of target landscapes, and develop a clear monitoring mechanism with clear indicators during the implementation; (iii) List all potential environmental and social impacts that the implementation of the above-mentioned outputs/activities could potentially have; (iv) Clearly articulate the positive environmental and social impacts that will result from the implementation of different components of the project in the project document; (v) Rate the likelihood of the listed negative impacts materializing during the implementation of the project using high, medium and low likelihood ratings; (vi) Rate the

level of impact of the listed negative social and environmental impacts using low, moderate and high level ratings; (vii): Develop brief draft mitigation strategies for the listed negative environmental and social impacts that were rated as high likelihood of occurring and with a high level of impact; (viii) Engagement with stakeholders will be ensured during the PPG phase for joint planning of activities, and prior informed consent mechanisms will be integrated in the project activities.

III. Integration with development plans, policies, budgets and complementary projects:

Further describe and cost the programmatic baseline projects as discussed in the PFD and the Child Project Concept; analyse weaknesses and gaps in these, and identify opportunities for joint action/identification for co-financing. In particular, complementarity and potential for synergetic impact with the national child projects under the GEF-6 global IWT Programme will be assured and concrete coordination mechanisms will be developed during the PPG.

IV: Completion of GEF focal area tracking tools:

The new GEF Tracking Tool for Programme 3 Preventing the Extinction of Known Threatened Species will be completed during the PPG phase. In addition, the PPG will produce project specific tracking tools to gauge management effectiveness of target ports or markets based on existing tools. UNDP Capacity Development Scorecard will be adapted to suite the specific context of this project and applied to establish a baseline capacity level of key agencies and to set targets. Management Effectiveness Tracking Tool for target seaports will be developed and applied to establish baselines and targets.

V. Stakeholder consultations during technical review:

Mobilize and engage stakeholders during project design. Negotiate partnerships with on-going and planned projects to align their activities and the project to build synergies. Document these consultations.

B. Component B: Institutional arrangements, monitoring and evaluation

The outputs of Component A will be used as technical input to Component B for the formulation of the UNDP-GEF project document.

- I. Finalization of project results framework: Further define the results framework with appropriate objective-level and outcome-level quantitative and qualitative SMARTError! Bookmark not defined. indicators, and end-of-project targets. Special attention will be made to include socio-economic and sex disaggregated indicators. The result framework indicators should include: (i) state indicators (e.g. spatial coverage, ecosystems quality, species populations or degree of land degradation); (ii) pressure indicators (threats and drivers); and (iii) response indicators.
- II. Definition of monitoring and evaluation (M&E): A detailed M&E work plan will be developed, including clear identification of responsibilities and accountabilities, as well as an appropriate M&E budget. The plan will be based on the standard template provided in the UNDP-GEF project document template that reflects the mandatory requirements of the GEF M&E Policy. This will also include clear linkages between the UNDP Strategic Plan IRRF outcome, output and indicator, and project indicator(s) within the strategic results framework
- III. Define sustainability plan: The sustainability plan will outline the principles and guidelines for ensuring the long-term sustainability of project achievements. It will also outline an exit strategy, seeking the continuation of key activities/achievements without the need of long-term international financing.

- IV. Definition of management arrangements: The organisational structure governing the project will be decided. This will include identification of the project board as well as responsible parties and engagement modalities.
 - V. Stakeholder consultations during Component B: Involve key agencies in the development of the project strategy to ensure a strong national ownership. In close collaboration with key government representatives and other stakeholders ensure full participation in the development of the project results framework and ensure agreement on the project objectives and outcomes. Undertake consultations to secure agreement(s) on project implementation arrangements, including roles, responsibilities, and accountabilities of lead and partner agencies. Document these consultations.
- C. Component C: Financial planning and co-financing investments:
- I. Prepare a detailed multi-year budget following the standard template provided in the UNDP-GEF project document template that reflects the mandatory requirements of the GEF M&E Policy.
 - II. Explore multilateral and bilateral co-financing opportunities: Undertake series of consultations with partners to ensure a coherent and sustainable financing package for the project including post- GEF grant phase.
 - III. Ensure completion of co-financing letters: A co-financing guarantee will be collected from participating government institutions, bilateral development partners, multilateral development partners and NGOs who wish to provide cash or in kind contributions to the project.
- IV. Stakeholder consultations during Component C: Involve key agencies in the development of the project strategy to ensure a strong collective ownership. In close collaboration with key government and port representatives and international organisations including United Nations Office on Drugs and Crime (UNODC), World Customs Organisation (WCO), INTERPOL, and other stakeholders, ensure full participation in the development of the project results framework and ensure agreement on the project objectives and outcomes. Undertake consultations to secure agreement(s) on project implementation arrangements; including roles, responsibilities, and accountabilities of lead and partner agencies. Document these consultations.
- D. Component D: Validation workshop
A virtual validation workshop will gather representatives from all relevant stakeholders to present, discuss and validate the final draft project document.
- E. Component E: Completion of final documentation
- I. Consolidation of all technical and consultation inputs into a clearly written UNDP Project document with all relevant sections and annexes
 - II. Provide specific inputs to completion of a World Bank- UNDP joint CEO endorsement request form and joint project document

Note: templates may be subject to change, the person responsible for this consolidation and drafting will be required to obtain guidance by the UNDP/GEF Regional Technical Advisor and UNDP CO on applicable formats and templates and ensure that his/her work is compliant with UNDP/GEF and UNDP CO requirements.

C. Project preparation activities work plan, timeframe, responsibilities and budget:

PPG Activity	Timeframe (in months) ¹												Responsibility	Budget US\$	
	Dec 15 16	Jan 16 16	Feb 16 16	Mar 16 16	Apr 16 16	May 16 16	Jun 16 16	Jul 16 16	Aug 16 16	Sept 16 16	Oct 16 16	Nov 16 16			
Desktop studies and analysis														International Consultant	5,000
Component A - New Analysis and Consultation with partners and in Pilot Countries and Ports														International Consultant	13,000
Component B – Institutional Arrangement and M&E														International Consultant. RTA	10,000
Component C – Financial planning and co-financing investments														International Consultant	5,000
Component D – Validation Workshop or Engagement														International Consultant. RTA	7,425
Component E – Completion of Final Documentations														International Consultant, RTA	8,500
GEF Submission and Response to Comments														International Consultant, RTA	3,500
Contingency Period															
Total															52,425

¹ If an FSP project please add additional six months noting 18 month deadline between GEF approval of the PIF and GEF CEO endorsement of the project document

D. Total Budget and Work Plan :

Award ID:	00092291
Award Title:	Coordinate Action and Learning to Combat Wildlife Crime
Business Unit:	UNDP1
Project Title:	Reducing Maritime Trafficking between Africa and Asia (UNDP-led Component 3 of the Global "Coordinate action and learning to combat wildlife crime" jointly implemented by UNDP and the World Bank)
Project ID:	00097076
Implementing Partner (Executing Agency)	UNODC, WCO, Government of Malaysia, Vietnam and Kenya, Dubai Port Authority

GEF Outcome/Atlas Activity	Responsible Party/	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount US\$	Budget notes
Project preparation grant to finalize the UNDP-GEF project document for Component 3 "Reducing Maritime Trafficking between Africa and Asia" of the global project "Coordinate action and learning to combat wildlife crime"	UNDP	62000	GEF TF	71200	International Consultants	24,000	A
				71300	Local Consultant	10,000	B
				71600	Travel	17,000	C
				74500	Miscellaneous Expenses	1,425	D
					PROJECT TOTAL	52,425	

Budget notes:

Budget Note	Items	Total estimated person weeks	Budget (\$)	Explanation
A1	71200 International Consultant: GEF Project Document Writer	12	18,000	Duration 24 week 2.5 days a week on average. Week fee USD 1,500. Please see Annex 2 for key responsibilities
A2	71200 International Consultant: Private Sector Engagement Specialist	3	6,000	15 days inputs. Please see Annex 2 for key responsibilities.
B	71300 Local Consultant (BKK): DIM Support Specialist	12	10,000	Duration 10 months Part-time (10 days/month). PPG coordination, technical and administrative support to the lead consultant and DIM project support. Please see Annex 2 for key responsibilities
C	71600 Travel		17,000	International consultant's missions, travel cost of workshop participants, resource people etc.
D	74500 Miscellaneous Expenses		1,425	Communication, supply, contingencies
Total PPG Budget			52,425	

Note: UNDP co-financing will cover the South-South Illegal Wildlife Trade Specialist

Annex 1: GEF CEO programme and PPG approval letter



Naoko Ishii
CEO and Chairperson

October 16, 2015

Ms. Karin Shephardson
GEF Executive Coordinator
The World Bank
1818 H St., NW
Room MC 2-407
Washington, DC 20433

Ms. Adriana Dima
GEF Executive Coordinator
United Nations Development Programme
One United Nations Plaza
304 East 45th St.
FF Bldg., 10th floor
New York, NY 10017

Messes. Shephardson and Dima:

I am pleased to inform you that I have approved your request for project preparation grant for the project concept detailed below:

Decision Sought	Project Preparation Grant (PPG) Approval
GEF-SEC ID:	9211
Agency(ies):	World Bank and UNDP
Focal Area:	Biodiversity
Project Type:	Full Size Project
Country(ies):	Global
Name of Project:	Coordinate Action and Learning to Combat Wildlife Crime
Parent Program:	Global: Global Partnership on Wildlife Conservation and Crime Prevention for Sustainable Development (PROGRAM - 9071)
PPG Grant:	\$183,486
PPG Agency Fee:	\$16,514
Funding Source:	GEF Trust Fund

PPG - Breakdown of Amounts by Agency/Trust Fund				
Agency	Trust Fund	PPG Grant Amount (US\$)	PPG Agency Fee (US\$)	Total (US\$)
World Bank	GEFTF	\$131,061	\$11,796	\$142,857
UNDP	GEFTF	\$52,425	\$4,718	\$57,143
Total:		\$183,486	\$16,514	\$200,000

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Ms. Karin Shephardson

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October 16, 2015

This approval is subject to the comments made by the GEF Secretariat in the attached project review document. It is also based on the understanding that the project is in conformity with GEF focal area strategies and in line with GEF policies and procedures.

Sincerely,

Naoko Ishii
Chief Executive Officer and Chairperson

Attachment: GEF SEC Project Review Document
Copy to: Country Operational Focal Point, GEF Agencies, STAP, Trustee

Annex 2: Summary of Consultants Financed by the Initiation Plan

Type of Consultant	Position / Titles	\$/Person Week ¹	Estimated PW ²	Tasks to be Performed
International	<p>International GEF Project Development Writer</p> <p>Ensure inclusion of the UNDP Strategic Plan IRRF outcome, output and indicator are reflected in the ProDoc with corresponding indicator in the strategic results framework</p>	1,500	12	<p>The Specialist will be responsible for baseline analysis for and development of component 3 of the global project "Coordinate Action and Learning to Combat Wildlife Crime" in the form of a UNDP-GEF Project Document. The project is an umbrella coordination project for the GEF-6 Programme 'Global Partnership on Wildlife Conservation and Crime Prevention for Sustainable Development' led by the World Bank. The coordination project is co-implemented by the World Bank and UNDP with the latter being in charge of component 3 – reducing maritime trafficking between Africa and Asia. With support from the South-South Illegal Wildlife Trade (S-S IWT) specialist already hired by the UNDP, the GEF Project Development Specialist will lead consultation and engagement with stakeholders for component 3 of the coordination project, in particular with United Nations Office on Drug and Crime (UNODC), World Customs Organisation (WCO) and target port authorities, ensuring close coordination and coherence with other components of the project as well as with the programmatic framework. The consultant will be responsible for ensuring synergy with on-going and emerging projects of international and national partners. The Specialist will liaise the lead consultants hired by the World Bank for the project development, S-S IWT Specialist, and other consultants developing national child projects. The Specialist will report to the assigned UNDP-GEF Regional Technical Adviser. The consultant will carry out the following tasks:</p> <ol style="list-style-type: none"> 1. Compile situation analysis, barriers, incremental reasoning and project plan for component 3 of the coordination project using existing documentations and analysis, as well as the thematic papers compiled by the wildlife crime specialist. 2. Engage face-to-face and electronically with key partners at the global, national and local (port) levels for introducing the project, joint planning of project strategy development and securing commitments for active participation in project implementation. 3. Oversee the S-S IWT Specialist's work to develop a port effectiveness management/ self-management scheme to gauge increased capacity of port authorities to deter the illegal trafficking of ivory in consultation with international and national partners. A draft scheme needs to be presented in the project document which will be field-tested in years 1 and 2 of the project in target ports and then finalized. Also identify the host organizations for such enhancement scheme and develop a workplan during the project implementation. 4. In consultation with S-S IWT Specialist, UNDP, UNODC and WCO and other technical specialists, identify three ports (one within a supply country in Africa, one within a transit country and one within a destination country) to field-test the enhancement scheme.

Type of Consultant	Position / Titles	\$/Person Week ¹	Estimated PWs ²	Tasks to be Performed
				<p>5. Based on the report compiled by the S-S IWT Specialist, investigate the entire supply chain of the shipping industry and identify potential entry points where the use of certification could be beneficial and the organisations to engage with its application.</p> <p>6. Based on the report compiled by the S-S IWT Specialist, develop a plan for establishing an Africa- Asia coordination mechanism to combat maritime trafficking, linking wildlife enforcement networks and working through ICWCC partnership, adding value to existing coordination efforts in this field. Initial engagement will be focused on ivory trafficking, with later expansion to other illegal wildlife products trafficking. The consultant should identify the countries to be engaged and advise on the membership/participants on the coordination mechanism.</p> <p>7. Develop a design of a small grants scheme for learning and knowledge exchange between national role players involved in combatting trafficking e.g. police investigators, government officials, customs officials, shipping company employees etc. enabling them to share learning on improved intelligence screening, seizures and investigation.</p> <p>8. Develop indicators for component 3, including, at a minimum, exact means of measurement, baselines and targets for the following indicators: (i) effective coordination and learning between African and Asian countries and agencies involved in efforts to reduce maritime transport of illegal wildlife products, especially ivory; (ii) increased effectiveness of port authorities to deter the illegal trafficking of ivory through transit and destination countries' ports; (iii) increased capacity of port officials in identifying ivory and African rhino products measures by an IWT capacity development scorecard for ports. Clear UNDP Strategic Plan's IRRF linkage will also be identified.</p> <p>9. Conduct the UNDP Social and environmental screening and gender analysis and sustainability planning for component 3 of the project.</p> <p>10. Provide necessary parts for the combined coordination project document and CEO endorsement document to be compiled by the World Bank hired consultant.</p> <p>11. Support coordination with existing and emerging activities by key global partners such as Royal Foundation, CITES Secretariat, UNODC, Interpol, Traffic, World Bank, ADB, WWF, WCS, WENS.</p> <p>The key deliverable of the GEF Project Design Specialist will be a UNDP project document elaborating the plans for component 3 of the coordination project. Preparation of this key deliverable will include quality control and final formulation of the following indicative sections of a UNDP/GEF compliant project document:</p>

Type of Consultant	Position / Titles	\$/Person Week ¹	Estimated PW/\$ ²	Tasks to be Performed
				<ul style="list-style-type: none"> ▪ Situation Analysis (including proposal sections on context, threats/root causes/barriers analysis, institutional/sectoral/policy context, stakeholder analysis, business-as-usual-analysis, gender issue analysis, indigenous groups, business-with-GEF-analysis) ▪ Project Strategy (including proposal sections on project rationale and policy conformity, project goal, objective, outcomes, outputs and activities, project indicators, risks and assumptions, country ownership, sustainability and replicability) ▪ Institutional and Management Arrangements ▪ Monitoring and Evaluation Plan and Budget ▪ Incremental Cost Analysis (including systems boundary, Summary of costs, additional cost matrix) ▪ Strategic Results Framework (formerly Logical Framework Analysis) ▪ Total Budget and First Annual Work plan ▪ Project Organogram ▪ Project timetable ▪ Terms of Reference for Project staff and main consultants and sub-contracts ▪ Stakeholder involvement Plan with a focus on indigenous groups ▪ Social and Environmental Screening Report ▪ Letters of Endorsement and co-financing ▪ Annexes and additional information annexes including UNDP Environmental and Social Screening and Procurement Plan. <p>These sections are indicative; templates may be subject to change, the GEF Project Design Specialist will be required to obtain guidance from the UNDP/GEF Regional Technical Advisor and UNDP CO on applicable formats and templates and ensure that his/her work is compliant with UNDP/GEF and UNDP CO requirements.</p> <p>Qualifications:</p> <ul style="list-style-type: none"> ▪ Advanced degree in relevant natural sciences, social sciences or political sciences; ▪ Minimum 10 years of demonstrable experience in preparing high quality project documents that address systemic and institutional capacity building in biodiversity conservation field ; ▪ Sound knowledge and understanding on illegal wildlife trade issues, biodiversity conservation and protected area land/seascape management;

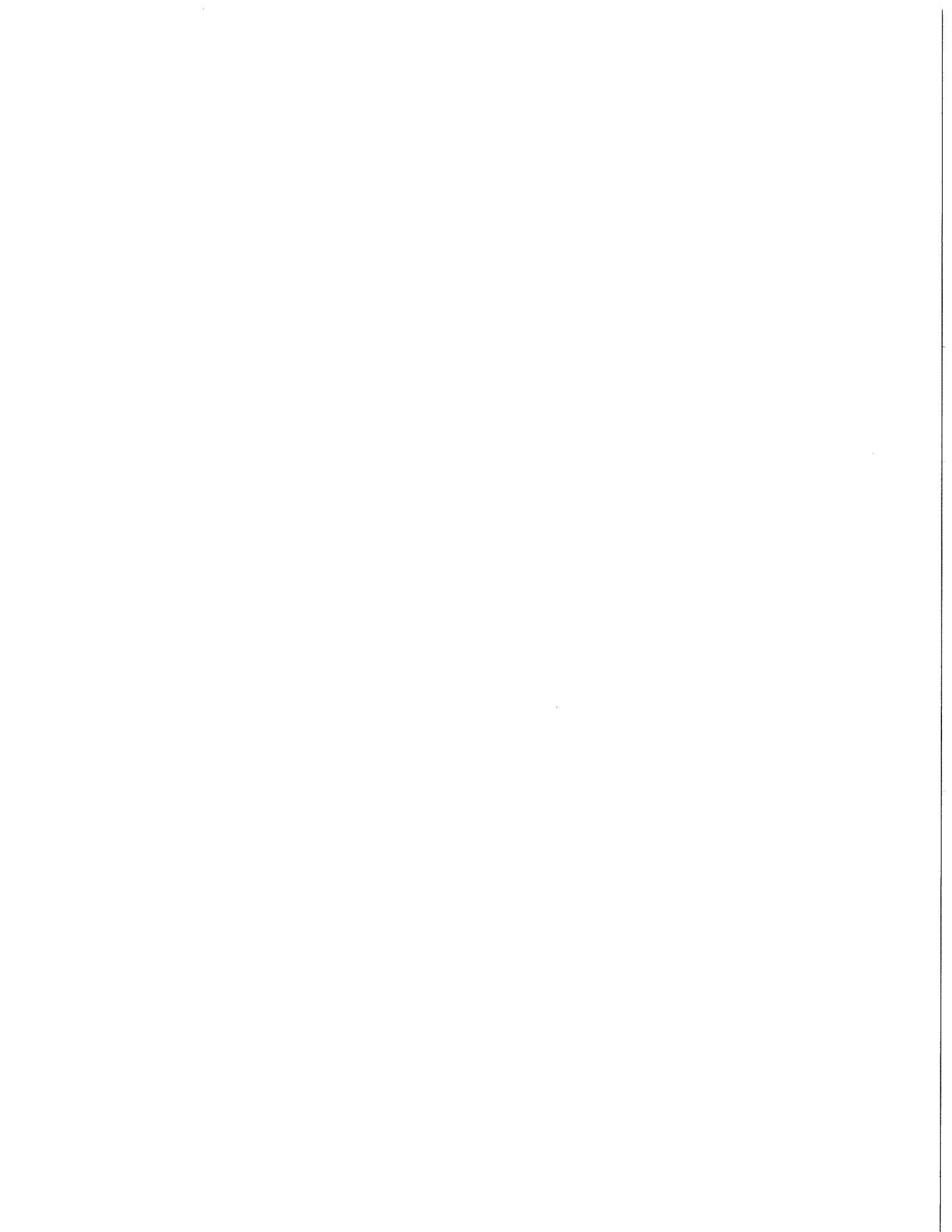
Type of Consultant	Position / Titles	\$/Person Week ¹	Estimated PWs ²	Tasks to be Performed
International	Private Sector Engagement Specialist	2,000	3	<ul style="list-style-type: none"> ▪ Extensive experience with project development, implementation and management (direct project formulation and management experience is preferable); ▪ Work experience in Asian countries preferred; ▪ Demonstrated strategic technical and intellectual skills in the substantive area; ▪ Demonstrated leadership, facilitation and coordination skills, ability to manage technical team and long term strategic partnership; ▪ Demonstrated entrepreneurial abilities and ability to work in an independent manner; ▪ Knowledge of GEF, UNDP policies, procedures and practices and working experience with international organizations or GEF funded biodiversity projects is an advantage; ▪ Excellent communication (both oral and written) and partnership building skills with multi-dimension partners, people skill for conflict resolution and negotiation; ▪ Computer proficiency, especially related to professional office software packages (Microsoft Office); ▪ Excellent English language skills with strong technical writing skills. <p>The Private Sector Engagement Specialist will provide targeted support for understanding and engaging private sector partners in the project development and implementation. <i>S/he will, inter alia:</i></p> <ul style="list-style-type: none"> ▪ Conduct a quick supply chain analysis on illegal wildlife trade with focus on ivory and rhino horns; ▪ Conduct private sector stakeholder analysis identifying their interests, potential conflicts and develop an engagement plan; ▪ Engage with priority private sector entities to solicit their inputs into project development and leverage private sector participation into the mission oriented programme. <p>Qualifications:</p> <ul style="list-style-type: none"> ▪ Advanced degree in relevant natural sciences, social sciences or political sciences; ▪ Minimum 7 years of demonstrable experience in private sector engagement in particular in relation with commodity supply chain and maritime shipping sector; ▪ Sound knowledge and understanding on illegal wildlife trade issues; ▪ Demonstrated strategic technical and intellectual skills in the substantive area; ▪ Demonstrated facilitation and coordination skills, ability to cultivate and manage strategic partnership; ▪ Demonstrated entrepreneurial abilities and ability to work in an independent manner;

Type of Consultant	Position / Titles	\$/Person Week ¹	Estimated PWS ²	Tasks to be Performed
Local	DIM Support Specialist	833.33	12	<ul style="list-style-type: none"> ▪ Excellent communication (both oral and written) and partnership building skills with multi-dimension partners, people skills for conflict resolution and negotiation; ▪ Computer proficiency, especially related to professional office software packages (Microsoft Office); ▪ Excellent English language skills with strong technical writing skills. <p>The DIM Support Specialist will provide part-time support over a 10-month period. S/he will:</p> <ul style="list-style-type: none"> ▪ Provide administrative and DIM project support including organisation of meetings and workshops, procurement support, as well as support organisation of HQs BPPS Project Appraisal Committee clearance, compilation of PAC minutes and obtaining technical and financial clearance of the ProDoc, placement of advertisement for implementation consultants. ▪ Provide facilitation support for coordination with the World Bank and other key partners. ▪ Provide support for communication in programme outreach and in identifying and forging synergies with other on-going and emerging UNDP biodiversity portfolio including child projects under the IWT Programme <p>Qualifications:</p> <ul style="list-style-type: none"> ▪ Advanced degree in relevant natural sciences, social sciences or political sciences; ▪ Minimum 2 years of demonstrable experience in being involved in development and/or implementation of donor funded projects that address systemic and institutional capacity building in environmental field ; ▪ Sound knowledge and understanding on illegal wildlife trade issues, biodiversity conservation and protected area land/seascape management; ▪ Demonstrated strategic technical and intellectual skills in the substantive area; ▪ Demonstrated facilitation and coordination skills, ability to cultivate and manage strategic partnership; ▪ Demonstrated entrepreneurial abilities and ability to work in an independent manner; ▪ Knowledge of GEF, UNDP policies, procedures and practices and working experience with international organizations or GEF funded biodiversity projects is an advantage; ▪ Excellent communication (both oral and written) and partnership building skills with multi-dimension partners, people skills for conflict resolution and negotiation; ▪ Computer proficiency, especially related to professional office software packages (Microsoft Office); ▪ Excellent English language skills with strong technical writing skills.

¹ Dollar amount per person week. ² Person weeks needed to carry out the task.

For the following annexes please refer to the attached separate documents.

Annex 4: STAP Comments for the Global Programme



Note to file: Waiver of PAC - "Global: Reducing Maritime Trafficking between Africa and Asia (IWT)" PPG-IP (PIMS 5620)

Project title: (Global): Reducing Maritime Trafficking between Africa and Asia - PIMS 5620 (UNDP-led Component 3 of the Global "Coordinate action and learning to combat wildlife crime")
Project type: Project Preparation Grant (PPG) Implementation Plan (IP) (PPG-IP is equivalent to UNDP IP)
SP Outcome / Output: 2 / 2.5
Atlas Award number: ATLAS 00092291
Project ID : 00097076
Budget amount / funding Source: \$52,425 – GEF Trust Fund. – to develop full project \$2.0M GEF.
Start date: ASAP February 2016
Operational Completion date: 31 September 2016

BPPS / Sustainable Development requests a waiver of the BPPS PAC for the above-mentioned UNDP-GEF Global Project Preparation Grant (PPG)-Implementation Plan (PPG-IP, equivalent to a UNDP Initiation Plan "IP") which will feed into the development of a UNDP project document.

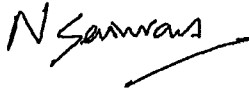
The IWT PPG-IP will develop a full project document (\$2.0M) for the GEF funded UNDP-led Component 3 of the Global Coordination Project document "Coordinate action and learning to combat wildlife crime" to be jointly implemented by UNDP and the World Bank. This project is the coordination project under the GEF-6 Global Partnerships on Wildlife Conservation and Crime Prevention for Sustainable Development (Global Wildlife Programme) with 19 child projects (12 of them implemented by the UNDP) to date.

The GEF Trust Fund has approved US\$52,425 for this PPG-IP, which will provide resources to a/recruit two International Consultants to formulate the full size project document; b/ any associated travel costs / stakeholder consultations. During the PPG-IP phase, a number of studies and stakeholder consultations will be undertaken with the aim to further develop the GEF approved project concept into a fully formulated UNDP project document. This phase will also inform the combined World Bank - UNDP CEO endorsement request document to be submitted to the GEF for funding consideration. The resulting Global Full Size project document will be submitted for BPPS review and PAC.

Extensive consultations with other UN agencies including UNEP, UNODC, the CITES Secretariat, governments and international NGOs have taken place on this initiative which is part of the coordination project, bilaterally and through the Programme steering committee in September 2015 in New York, the Programme's knowledge management event and the CITES Standing Committee meeting side events which were held in January 2016 in Geneva and the Transport Task Force meetings of the United for Wildlife led by the Royal Foundation. Regional Bureaus of Africa and Asia and Pacific have also been consulted on this initiative, with RBAP allocating regional programme funding to support the initiative in the past. This initiative links to other national projects in the programme that have been reviewed / approved and the Global project: Rhino Impact Bonds: An Innovative Financing Mechanism for Site-Based Rhinoceros Conservation (PIMS 5382) which has been reviewed by the BPPS PAC.

Due to GEF policies on this specific programme, there are severe time constraints to develop the full project document for submission to the GEF by September 2016 for GEF funding consideration and approval.

Requested through:



Nik Sekhran, Chief of Profession for Sustainable Development

Cleared by:



Chitose Noguchi, Global Programme Manager, BPPS